

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number


**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

4

of

5

Complete if Known

Application Number	09/750,288
Filing Date	December 29, 2000
First Named Inventor	Leroy B. Keely et al.
Group Art Unit	2675
Examiner Name	A. Awad

NOV 21 2003

Attorney Docket Number 003797.00071

Technology Center 260

RECEIVED

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
AA		"Adobe Acrobat 3.0 Reader Online Guide", Adobe Systems, Inc., pages 1-110	
AA		KUNIKAZU, T., Patent Abstracts of Japan, Publication No. 11327789 for Color Display and Electronic Blackboard System, published 11/30/1999	
AA		Open eBook Forum, Open eBook™ Publication Structure 1.0, Sep. 1999 http://www.ebxwg.org/oebps/oebps1.0/download/oeb1-oebps.htm	
AA		KRISTENSEN, A., "Formsheets and the XML Forms Language" (March 1999)	
AA		Dublin Core Resource Types: Structurality DRAFT: July 24, 1997, February 27, 1998	
AA		Dublin Core Metadata Element Set, Version 1.1: Reference Description 1999	
AA		Dublin Core Metadata Initiative: User Guide Working Draft 1998-07-31 1999	
AA		SOFTBOOK® Press, The Leader in Internet-Enabled Document Distribution and Reading Systems; 1999 http://www.softbook.com/consumer/reader.asp	
AA		Rocket eBook Using the Rocket eBook, 1999; http://www.rocketbook.com/Products/Faq/using.html	
AA		Explore the Features of the Rocket eBook; wysiwyg://212http://www.rocketbook.com/Products/Tour/index.html; publication date unknown, prior to filing application	
AA		Computer Networks and ISDN Systems "Responsive interaction for a large Web application: the meteron shower architecture in the WebWriter II Editor"; 1997, pages 1508-1517	
AA	-	HIROTSU et al., "Cmew/U - A Multimedia Web Annotation Sharing System", NTT Network Innovation Laboratories - Japan, IEEE, 1999, pages 356-359	
AA	.	KUO et al., "A Synchronization Scheme for Multimedia Annotation", Multimedia Information Networking Laboratory, Tamkang University - Taiwan, IEEE, 1997, pages 594-598	

Examiner Signature

Amr Awad

Date Considered

1-7-04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

of | 5

Complete if Known

Application Number	09/750,288
Filing Date	December 29
First Named Inventor	Leroy B. Kee
Group Art Unit	2675
Examiner Name	A. Awad
Attorney Docket Number	003797.0007

RECEIVED

NOV 21 2003

~~Technology Center 2600~~

NON PATENT LITERATURE DOCUMENTS

Examiner Signature	<i>Anu Awad</i>	Date Considered	1-7-04
--------------------	-----------------	-----------------	--------

'EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 5

Complete if Known

Application Number	09/750,288
Filing Date	December 29, 2000
First Named Inventor	Leroy B. Keely et al.
Group Art Unit	2675
Examiner Name	A. Awad
Attorney Docket Number	003797.00071

NOV 21 2003

Technology Center: 2600

RECEIVED

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA		US 5,239,466	08/24/1993	Morgan et al.	
		US 5,473,742	12/05/1995	Polyakov et al.	
		US 5,572,643	11/05/1996	Judson	
		US 5,632,022	05/20/1997	Warren et al.	
		US 5,687,331	11/11/1997	Volk et al.	
		US 5,717,879	02/10/1998	Moran et al.	
		US 5,729,687	03/17/1998	Rothrock et al.	
		US 5,745,116	04/28/1998	Pisutha-Arnold	
		US 5,790,818	08/04/1998	Martin	
		US 5,821,925	10/13/1998	Carey et al.	
		US 5,877,757	03/02/1999	Baldwin et al.	
		US 5,948,040	09/07/1999	DeLorme et al.	
		US 5,950,214	09/07/1999	Rivette et al.	
		US 5,978,818	11/02/1999	Lin	
		US 6,094,197	07/25/2000	Buxton et al.	
		US 6,181,344	01/30/2001	Tarpenning et al.	
		US 6,088,481	07/11/2000	Okamoto et al.	
		US 6,118,437	09/12/2000	Fleck et al.	
		US 6,128,007	10/03/2000	Seybold	
		US 6,239,792	05/29/2001	Yanagisawa et al.	
		US 6,262,719	07/17/2001	Bi et al.	
		US 6,321,244	11/20/2001	Liu et al.	
		US 6,335,727	01/01/2002	Morishita et al.	
		US 6,340,967	01/22/2002	Maxted	
AA		US 6,389,434	05/14/2002	Rivette et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
AA		WO 96/20908	07/11/1996	Mikitenko et al.		
AA		WO 99/49383	09/1999			

Examiner Signature

Amr Awad

Date Considered

1-7-04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

NOV 18 2003

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.


**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 2 of 5

Complete if Known

Application Number 09/750,288

Filing Date December 29, 2000

First Named Inventor Leroy B. Keely et al.

Group Art Unit 2675

Examiner Name A. Awad

Attorney Docket Number 003797.00071

NOV 21 2003

Technology Center 260

RECEIVED

VFT

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
AA		US 5,146,552	09/08/1992	Cassolla et al.	
		US 5,434,965	07/18/1995	Matheny et al.	
		US 5,625,833	04/29/1997	Levine et al.	
		US 5,680,636	10/21/1997	Levine et al.	
		US 5,682,439	10/28/1997	Beernink et al.	
		US 5,737,599	04/07/1998	Rowe et al.	
		US 5,760,773	06/02/1998	Berman et al.	
		US 5,845,262	12/01/1998	Nozue et al.	
		US 5,893,126	04/06/1999	Drews et al.	
		US 5,933,140	08/03/1999	Strahorn et al.	
		US 5,937,416	08/10/1999	Menzel	
		US 5,983,248	11/09/1999	De Rose et al.	
		US 6,011,537	01/04/2000	Slotnick	
		US 6,012,055	01/04/2000	Campbell et al.	
		US 6,052,514	04/18/2000	Gill et al.	
		US 6,091,930	07/18/2000	Mortimer et al.	
		US 6,122,649	09/19/2000	Kanerva et al.	
		US 6,205,455	03/20/2001	Umen et al.	
		US 6,271,840	08/07/2001	Finseth et al.	
		US 6,279,005	08/21/2001	Zellweger	
		US 6,279,014	08-21-2001	Schilit et al.	
		US 6,301,590	10/09/2001	Siow et al	
		US 6,331,867	12/18/2001	Eberhard et al.	
		US 6,356,287	03/12/2002	Ruberry et al.	
		US 6,393,422	05/21/2002	Wone	
		US 6,421,065	07/16/2002	Walden et al.	
		US 6,446,110	09/03/2002	Lection et al.	
		US 6,490,603	12/03/2002	Keenan et al.	
		US 6,535,294	03/18/2003	Arledge, Jr. et al.	
		US 6,539,370	03/25/2003	Chang et al.	
		US 6,560,621	05/06/2003	Barile	
		US 6,571,295	05-27-2003	Sidana	

Examiner Signature

Amy Awad

Date Considered

1-7-04

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

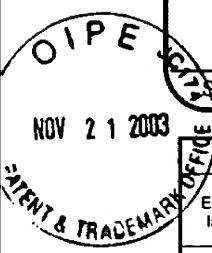
INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

<i>Complete if Known</i>	
Application Number	09/750,288
Filing Date	December 29, 2000
First Named Inventor	Leroy B. KEELY et al.
Art Unit	2675
Examiner Name	A. Awad
Attorney Docket Number	003797.00071

NOV 21 2003



U.S. PATENT DOCUMENTS

RECEIVED

NOV 26 2003

Technology Center 2600

FOREIGN PATENT DOCUMENTS

**Examiner
Signature**

Amr Amr

Date Considered

1-7-04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

place a check mark here if English language Translation is attached.
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.